# Improving anatomical plausibility and auditing fairness in deep segmentation networks

Enzo Ferrante

M eferrante@sinc.unl.edu.ar







PARIS-SACL



DATAIA Visiting Professor at Université Paris-Saclay Paris, France















Lucas Mansilla Nicolás Gaggión **Rodrigo Echeveste Diego Milone** Franco Matzkin Agostina Larrazabal Nicolás Nieto Victoria Peterson **Candelaria Mosquera** Agustina Ricci Rodrigo Bonazola Josefina Catoni Estanislao Claucich Belen Bachli



#### **Anatomical Plausibility**





• Anatomical structures follow regular patterns

• Constrained space of solutions in terms of shape, topology and location

• We say that a segmentation mask is *anatomically plausible* if it lives in such constrained space.

#### Semantic segmentation 'in the wild' vs medical imaging segmentation



Tons of data, low regularity



Less data, more regularity

#### **Anatomical Plausibility**



#### Anatomical plausibility in image segmentation



#### Anatomical plausibility in image segmentation



#### Example of topological defects in the white matter surface extracted by FreeSurfer

Source: https://andysbrainbook.readthedocs.io/en/latest/FreeSurfer/FS\_ShortCourse/FS\_12\_FailureModes.html

#### Why does it happen? CNN limitations



CNN predictions have local support

CNNs are translation invariant

- The loss term is usually defined at the pixel level
- This makes it **difficult** to introduce **global shape constraints**

Can we take advantage of this high data regularity to encourage anatomical plausibility via global shape constraints?

#### **Anatomically Constrained Neural Networks**



#### **Anatomically Constrained Neural Networks**

### Idea: Learn an embedding which contains global information about shape and topology





(d1, d2, d3, ..... dn)

#### Learning embeddings of anatomical masks



Trained using segmentation masks (not image information)

#### Learning embeddings of anatomical masks



Trained using segmentation masks (not image information)

#### **Denoising autoencoders**



E.g: MSE Loss Function

$$L(x;\theta) = (g_{\theta}(f_{\theta}(\hat{x})) - x)^{2}$$

Decoder Encoder Original Image / Mask

#### Measuring similarity at the local and global level



 $\mathcal{L}_{ce}$ 

• Local loss: Cross entropy defined at the pixel level. --->

• Global loss: Euclidean distance between the embeddings

$$\mathcal{L}_{ae} = \|f(\phi(x); \boldsymbol{\theta}_f) - f(\hat{x}; \boldsymbol{\theta}_f)\|_2^2$$



Step 1: Train the autoencoder



Source: Oktay, Ferrante, Kamnitsas et al (IEEE TMI, 2018)



Source: Oktay, Ferrante, Kamnitsas et al (IEEE TMI, 2018)

Step 2: Use the embeddings to formulate the loss function



Source: Oktay, Ferrante, Kamnitsas et al (IEEE TMI, 2018)



MR Segmentation

US Segmentation

	Endocardium			Myocardium			Capacity
	Mean Dist. (mm)	Hausdorff Dist. (mm)	Dice Score (%)	Mean Dist. (mm)	Hausdorff Dist. (mm)	Dice Score (%)	# Trainable Parameters
2D-FCN [44]	$2.07{\pm}0.61$	$11.37 \pm 7.15$	$.908 {\pm} .021$	$1.58{\pm}0.44$	9.19±7.22	$.727 {\pm} .046$	$1.39  imes 10^6$
3D-Seg	$1.77{\pm}0.84$	$10.28 {\pm} 8.25$	$.923 {\pm} .019$	$1.48{\pm}0.51$	$10.15 {\pm} 10.58$	$.773 {\pm} .038$	$1.60  imes 10^6$
3D-UNet [12]	$1.66{\pm}0.74$	$9.94{\pm}9.22$	$.923 {\pm} .019$	$1.45 \pm 0.47$	9.81±11.77	$.764 {\pm} .045$	$1.64  imes 10^6$
AE-Seg [37]	$1.75 {\pm} 0.58$	$8.42 \pm 3.64$	$.926 {\pm} .019$	$1.51 \pm 0.29$	$8.52 \pm 2.72$	$.779 {\pm} .033$	$1.68  imes 10^6$
3D-Seg-MAug	$1.59{\pm}0.74$	$8.52 \pm 8.13$	$.928 {\pm} .019$	$1.37 \pm 0.41$	$9.41 {\pm} 9.17$	$.785 {\pm} .041$	$1.60  imes 10^6$
AE-Seg-M	$1.59{\pm}0.48$	7.52±3.78	$.927 {\pm} .017$	$1.32{\pm}0.26$	7.12±2.79	$.791 {\pm} .036$	$1.91  imes 10^6$
<b>ACNN-Seg</b>	$1.37{\pm}0.42$	$7.89 {\pm} 3.83$	<b>.939±.017</b>	$1.14{\pm}0.22$	7.31±3.59	<b>.811±.027</b>	$1.60  imes 10^6$
p-values	$p \ll 0.001$	p pprox 0.890	$p \ll 0.001$	$p \ll 0.001$	$p \approx 0.071$	$p \ll 0.001$	-

### Can we use ACNN to encourage anatomical plausibility in Deformable Image Registration?





#### Learning deformable image registration with anatomical constraints





#### Measuring similarity at the local and global level



Mansilla, Milone & Ferrante *Neural Networks*, 2020

#### Post-DAE: Autoencoders as a post processing step

IEEE TRANSACTIONS ON MEDICAL IMAGING, VOL. XX, NO. XX, XXXX 2020

#### Post-DAE: Anatomically Plausible Segmentation via Post-Processing with Denoising Autoencoders

UFFC

EMB NPSS

Agostina J Larrazabal, César Martínez, Ben Glocker, Enzo Ferrante

Abstract—We introduce Post-DAE, a post-processing method based on denoising autoencoders (DAE) to improve the anatomical plausibility of arbitrary biomedical image segmentation algorithms. Some of the most popular segmentation methods (e.g. based on convolutional neural networks or random forest classifiers) incorporate additional post-processing steps to ensure that the resulting masks fulfill expected connectivity constraints. These methods operate under the hypothesis that contiguous pixels with similar aspect should belong to the same class. Even if valid in general, this assumption does not consider more complex priors like topological restrictions or convexity, which cannot be easily incorporated into these methods. Post-DAE leverages the latest developments in manifold learning via denoising autoencoders. First, we maget and non-linear embedding that represents

pipelines such as computer assisted diagnosis, morphometric analysis for population studies and radiotherapy planning. The correctness and anatomical plausibility of these results is thus of paramount importance, since it will directly influence the

overall quality of subsequent analyses. Convolutional neural networks (CNNs) proved to perform biomedical image segmentation in a highly accurate way [1]– [3]. CNNs constitute a particular type of neural network specially suited for regularly structured data, like 2D or 3D images, where hierarchical representations of the input are learned using stacked convolutional layers. At every layer, shared parameters (also referred as weights or kernel) are used to learn new representations of the input image. This sharing scheme reduces the number of parameters that should be learnt In Collaboration with

#### Imperial College London

#### Autoencoders as a post-processing step



#### **Denoising autoencoders**



#### Experiments: quantitative results



#### **Experiments: quantitative results**



## Anatomical Priors for Image Segmentation via Post-Processing with Denoising Autoencoders

MICCAI 2019

Visualization of segmentation masks before and after post-processing using Post-DAE

#### Source code

Agostina Larrazabal



C	CO A POST_DAE.ipynb						
l≔	Índice ×	+ Código + Texto & Copiar en Drive					
<>	Post-DAE: Anatomically Plausible Segmentationvia Post-Processing with Denoising Autoencoders	<ul> <li>Installing collected packages: SimpleITK, medpy Successfully installed SimpleITK-1.2.4 medpy-0.4.0</li> <li>Post-DAE for binary segmentation</li> <li>Training a new model</li> </ul>					
	Post-DAE for binary segmentation Training a new model						
	Lung segmentation masks Post-processing Random Forest segmentations Post processing initial RF predictions						
	Post-processing UNet segmentations	[ ] import binary_tools as t					
	Post-processing initial UNet predictions Use Post-DAE to post-process	bz = 15 epochs = 150 lr=0.0001 pyal=22					
	Montgomery dataset: Post- processing RF segmentations Post-processing initial RF predictions	<pre>ntrain=174 saveDir = 'trained_models/Binary_DAE/Post_DAE_new' t.ensure_dir(saveDir)</pre>					
	on Montgomery Post-processing initial UNet predictions on Montgomery	VAL_IMAGE_DIR="Segmentations/JRST/Labels/Val/*.png" TRAIN_IMAGE_DIR="Segmentations/JRST/Labels/Train/*.png"					
	Comparing predictions Sección	<pre>x_val, y_val = t.generate_validation_data(VAL_IMAGE_DIR,nval) t.train_new_model(TRAIN_IMAGE_DIR,saveDir,x_val,y_val, ntrain,bz, epochs,lr) Using TensorFlow backend.</pre>					

#### Denoising also helps during training



### Can we extend these ideas to landmark-based segmentation?
# Hybrid graph convolutional neural networks for landmark-based anatomical segmentation

Nicolás Gaggion, Lucas Mansilla, Diego Milone, Enzo Ferrante Research Institute for Signals, Systems and Computational Intelligence, sinc(i) CONICET, Universidad Nacional del Litoral, Santa Fe, Argentina

Abstract. In this work we address the problem of landmark-based segmentation for anatomical structures. We propose HybridGNet, an encoderdecoder neural architecture which combines standard convolutions for image feature encoding, with graph convolutional neural networks to decode plausible representations of anatomical structures. We benchmark the proposed architecture considering other standard landmark and pixel-based models for anatomical segmentation in chest x-ray images, and found that HybridGNet is more robust to image occlusions. We also show that it can be used to construct landmark-based segmentations from pixel level annotations. Our experimental results suggest that Hybrid-Net produces accurate and anatomically plausible landmark-based segmentations, by naturally incorporating shape constraints within the decoding process via spectral convolutions.

**Keywords:** Landmark-based segmentation · Graph convolutional neural networks · Spectral convolutions.

#### MICCAI 2021

### Landmark based anatomical segmentation



#### Landmark based anatomical segmentation



### **Graph construction**



Adjacency matrix (fixed)

Point coordinates as a node features

### Hybrid GNet Architecture



### **Hybrid GNet Architecture**

#### **Convolutional Encoder**



### Image to graph skip connections



#### Image to graph skip connections

GAGGION et al.: IMPROVING ANATOMICAL PLAUSIBILITY IN MEDICAL IMAGE SEGMENTATION VIA HYBRID GRAPH NEURAL NETWORKS

## Improving anatomical plausibility in medical image segmentation via hybrid graph neural networks: applications to chest x-ray analysis

Nicolás Gaggion, Lucas Mansilla, Candelaria Mosquera, Diego H. Milone and Enzo Ferrante

Abstract—Anatomical segmentation is a fundamental task in medical image computing, generally tackled with fully convolutional neural networks which produce dense segmentation masks. These models are often trained with loss functions such as cross-entropy or Dice, which assume pixels to be independent of each other, thus ignoring topological errors and anatomical inconsistencies. We address this limitation by moving from pixel-level to graph representations, which allow to naturally incorporate anatomical constraints by construction. To this end, we introduce HybridGNet, an encoder-decoder neural architecture that leverages standard convolutions for image feature encoding and graph convolutional neural networks (GCNNs) to decode plausible representations of anatomfeatures from annotated datasets. Casting image segmentation as a pixel labeling problem is desirable in scenarios where topology and location do not tend to be preserved across individuals, like lesion segmentation. However, organs and anatomical structures usually present a characteristic topology that tends to be regular. Since deep segmentation networks are typically trained to minimize pixel-level loss functions, such as cross-entropy or soft Dice [2], their predictions are not guaranteed to reflect anatomical plausibility, due to the inherent lack of sensitivity that these metrics have with respect to global shape and topology [3] (i.e. many different shapes

#### **IEEE TMI 2022**

### Experiment: Comparison with landmark-based baseline methods

Model		MSE	Dice Lungs	HD Lungs	<b>Dice Heart</b>	HD Heart
PCA		340.024 (243.549)	0.945 (0.014)	17.445 (9.669)	0.906 (0.037)	14.602 (5.400)
FC		332.197 (242.379)	0.945 (0.017)	17.535 (10.352)	0.910 (0.038)	15.020 (5.785)
MultiAtlas		492.262 (298.138)	0.944 (0.013)	20.317 (9.344)	0.886 (0.056)	16.780 (6.839)
HybridGNet (without IGSC)		294.621 (274.497)	0.952 (0.013)	15.642 (10.922)	0.913 (0.038)	13.658 (5.548)
1 IGSC	Layer 3	277.536 (298.725)	0.954 (0.014)	14.565 (11.441)	0.917 (0.037)	13.401 (5.376)
	Layer 4	288.597 (272.538)	0.956 (0.013)	16.054 (11.284)	0.916 (0.038)	14.153 (6.038)
	Layer 5	258.413 (245.724)	0.963 (0.010)	13.662 (11.107)	0.915 (0.039)	13.738 (5.181)
	Layer 6	250.123 (232.032)	0.960 (0.011)	14.378 (9.262)	0.924 (0.030)	12.339 (4.844)
	Layers 4-3	263.973 (262.700)	0.963 (0.011)	14.942 (10.589)	0.921 (0.036)	13.198 (5.514)
2 IGSC	Lavers 5-4	246 845 (230 235)	0 968 (0 009)	13 692 (10 984)	0 924 (0 040)	13 417 (6 144)
	Layers 6-5	200.748 (211.080)	<b>0.974</b> (0.007)	<b>12.089</b> (9.344)	<b>0.933</b> (0.031)	<b>11.613</b> (5.581)

### **Experiment: Comparison with landmark-based baseline methods**



# Experiment: Robustness to simulated image occlusion on dense segmentation



### Experiment: Robustness to image occlusion on dense segmentation



We compared the models evaluating the Dice coefficient and Hausdorff distance on the dense masks obtained from the UNet and the convolutional decoder of the dual models.

# Experiment: Robustness to real image occlusion on dense segmentation



# Experiment: Assessing the impact of domain shift by age distribution on lung segmentation



### **Extension to 3D**

Extend HybridGNet to 3D meshes derived from volumetric images instead of 2D contours



#### MULTI-CENTER ANATOMICAL SEGMENTATION WITH HETEROGENEOUS LABELS VIA LANDMARK-BASED MODELS

Nicolás Gaggion\*

Maria Vakalopoulou<sup>†</sup>

Diego H. Milone\*

Enzo Ferrante\*

\* Research Institute for Signals, Systems and Comp. Intelligence, sinc(i), CONICET-UNL, Argentina † MICS, CentraleSupélec, Université Paris-Saclay, Inria Saclay, France

#### ABSTRACT

Learning anatomical segmentation from heterogeneous labels in multi-center datasets is a common situation encountered in clinical scenarios, where certain anatomical structures are only annotated in images coming from particular medical centers, but not in the full database. Here we first show how state-of-the-art pixel-level segmentation models fail in naively learning this task due to domain memorization issues and conflicting labels. We then propose to adopt HybridGNet, a landmark-based segmentation model which learns the available anatomical structures using graph-based representations. By analyzing the latent space learned by both models, we show that HybridGNet naturally learns more domain-invariant feature representations, and provide empirical evidence in the context of chest X-ray multiclass segmentation. We hope these insights will shed light on the section of multi-task learning, domain adaptation and weakly supervised learning [7]. As we will show in this work, when different organs are annotated in images coming from various centers, commonly used pixel-level segmentation methods like UNet and nnUNet trained with standard procedures tend to associate certain labels to specific domains.

Several methods have been proposed to independently address the problems of domain shift [5, 8, 9] and heterogeneous labels [10, 6, 11] in medical image segmentation. As for the joint problem, Dorent and coworkers [7] proposed a framework which combines a variational formulation to cope with heterogeneous labels, with conventional techniques based on data augmentation, adversarial learning, and pseudo-healthy image generation to address domain shift. In this work, we argue that landmark based segmentation methods like the HybridGNet [12, 13] can naturally handle



#### IEEE ISBI 2023

# **Multi-center anatomical segmentation with** heterogeneous labels via landmark-based models

Hospital A



Hospital B



Hospital C



Padchest (LH)



**ISRT (LHC)** 



Image by Vectorportal.com, CC BY

# **Problem: domain memorization**

#### when training using multi-center data with heterogeneous labels



Desired output



 Naïve pixel level approaches tend to fail in multicentric scenarios with heterogeneous labels due to domain memorization issues

• We propose to overcome this approach with landmark based models

# **Qualitative results**

UNet and nnUNet suffer from domain memorization in the full setting (e.g. the heart is not predicted in Montgomery and Shenzhen)



# **Qualitative results**

HybridGNet presents anatomically plausible results for all structures when trained in both settings



# Why is this happening?

 We performed dimensionality reduction on the bottleneck latent space of HybridGNet and the UNets

7

5

3

2

- UNet and UNet HT tend to clusterize images per dataset, while HybridGNet doesn't, explaining the improved robustness to domain-label memorization.



8

HybridGNet UMAP (same organ area)

Padchest Shenzhen ISRT

Montaomerv

### Multi-center anatomical segmentation with heterogeneous labels



7 5 4 3 2 Padchest • Shenzhen . JSRT 1 Montgomery . 6 10 4 8

UNet

### CheXmask: a large-scale dataset of anatomical segmentation masks for multi-center chest x-ray images



### CheXmask: a large-scale dataset of anatomical segmentation masks for multi-center chest x-ray images









https://tinyurl.com/chexmask

CheXmask: a large-scale dataset of anatomical segmentation masks for multi-center chest x-ray Nicolás Gaggion<sup>1</sup>, Candelaria Mosquera<sup>2, 3</sup>, Lucas Mansilla<sup>1</sup>, Martina Aineseder<sup>2</sup>, Diego H. <sup>1</sup>Institute for Signals, Systems and Computational Intelligence, sinc(i) CONICET-UNL, Santa Fe, S3002, Argentina Milone<sup>1</sup>, and Enzo Ferrante<sup>1,\*</sup> <sup>3</sup>Health Informatics Department at Hospital Italiano de Buenos Aires, Buenos Aires, CP, Argentina <sup>3</sup>Universidad Tecnológica Nacional, Buenos Aires, CP, Argentina \*corresponding author: Enzo Ferrante (eferrante@sinc.unl.edu.ar) The development of successful artificial intelligence models for chest X-ray analysis relies on large, diverse datasets with high-quality annotations. While several databases of chest X-ray images have been released, most include disease diagnosis ABSTRACT light quality annotations. While several galapases of onest Analy images have been released, most moluge disease diagnosis labels but lack detailed pixel-level anatomical segmentation labels. To address this gap, we introduce an extensive the off the molecular term in the sector of auels put laux detailed pixel-level anatomical segmentation labels. To address this yap, we introduce an extensive onest X ray multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images coming from six well-known multi-center segmentation dataset with uniform and fine-grain anatomical annotations for images and the second view of num-center segmentation ustaset with uniform and me-grain anatomical annotations for images coming non-six weir-known publicly available databases: CANDID-PTX, ChestX-ray8, Chexpert, MIMIC-CXR-JPG, Padchest, and VinDr-CXR, resulting in 676 803 segmentation masks. Our methodology utilizes the HybridGNet model to ensure consistent and high-quality Register and automatic quality control, Additionally we provide individualized quality indices per mask and an overall our Versely dataset is publicly available

Under evaluation

#### Nicolás Gaggión



https://github.com/ngaggion/HybridGNet

#### → C 🔒 github.com/ngaggion/HybridGNet

#### 🗄 README.md

# HybridGNet: Hybrid graph convolutional neural networks for landmark-based anatomical segmentation

#### Nicolás Gaggion<sup>1</sup>, Lucas Mansilla<sup>1</sup>, Diego Milone<sup>1</sup>, Enzo Ferrante<sup>1</sup>

<sup>1</sup> Research Institute for Signals, Systems and Computational Intelligence (sinc(i)), FICH-UNL, CONICET, Ciudad Universitaria UNL, Santa Fe, Argentina.

Full-paper accepted at MICCAI 2021. Pre-print available at https://arxiv.org/abs/2106.09832



## Bias in AI for medical image analysis



**COMMENT** • 18 JULY 2018

# AI can be sexist and racist – it's time to make it fair

Computer scientists must identify sources of bias, de-bias training data and develop artificial-intelligence algorithms that are robust to skews in the data, argue James Zou and Londa Schiebinger.

# **Gender bias in AI systems**



# Racial bias in AI systems

### Face recognition



Publicly available commercial face recognition online services provided by Microsoft, Face++, and IBM respectively are found to suffer from achieving much lower accuracy on females with darker skin color(see Fig4, Buolamwini and Gebru, 2018).

				10.0						
Classifier	Metric	All	$\mathbf{F}$	$\mathbf{M}$	Darker	Lighter	$\mathbf{DF}$	$\mathbf{D}\mathbf{M}$	$\mathbf{LF}$	$\mathbf{L}\mathbf{M}$
_	PPV(%)	93.7	89.3	97.4	87.1	99.3	79.2	94.0	98.3	100
MSET	Error Rate(%)	6.3	10.7	2.6	12.9	0.7	20.8	6.0	1.7	0.0
MSF 1	$\mathrm{TPR}\ (\%)$	93.7	96.5	91.7	87.1	99.3	92.1	83.7	100	98.7
	FPR(%)	6.3	8.3	3.5	12.9	0.7	16.3	7.9	1.3	0.0
_	PPV(%)	90.0	78.7	99.3	83.5	95.3	65.5	99.3	94.0	99.2
Facel	Error Rate(%)	10.0	21.3	0.7	16.5	4.7	34.5	0.7	6.0	0.8
race++	$\mathrm{TPR}\ (\%)$	90.0	98.9	85.1	83.5	95.3	98.8	76.6	98.9	92.9
	FPR(%)	10.0	14.9	1.1	16.5	4.7	<b>23.4</b>	1.2	7.1	1.1
_	PPV(%)	87.9	79.7	94.4	77.6	96.8	65.3	88.0	92.9	99.7
IBM	Error Rate(%)	12.1	20.3	5.6	22.4	3.2	34.7	12.0	7.1	0.3
IDM	$\mathrm{TPR}\ (\%)$	87.9	92.1	85.2	77.6	96.8	82.3	74.8	99.6	94.8
	FPR(%)	12.1	14.8	7.9	22.4	3.2	25.2	17.7	5.20	0.4

Source: Buolamwini & Gebru, 2018. Conference on Fairness, Accountability and Transparency

# Fairness of AI for medical image analysis

Deep learning models for medical image analysis can exhibit bias with respect to specific sub-populations



## **Bias in deep segmentation networks**

Ethnicity bias in cardiac segmentation

DSC (%) for Baseline —Fairness through unawareness								
		$\mathbf{ED}$			Aug			
	LVBP	LVM	RVBP	LVBP	LVM	RVBP	Avg	
Total	93.48	83.12	89.37	89.37	86.31	80.61	87.05	
Male	93.58	83.51	88.82	90.68	85.31	81.00	87.02	
Female	93.39	82.71	89.90	89.59	86.60	80.21	87.07	
White	97.33	93.08	94.09	95.06	90.58	90.88	93.51*	
Mixed	92.70	78.94	86.91	86.70	82.54	79.32	$84.52^{*}$	
Asian	94.53	87.33	90.51	90.13	88.94	81.94	88.90*	
Black	92.77	85.93	89.49	89.42	85.74	71.91	85.88*	
Chinese	91.81	74.51	85.74	86.39	85.12	79.34	83.82*	
Others	91.74	78.94	89.50	88.53	84.96	80.27	85.66*	





# **Bias in deep segmentation networks**

#### Age bias in lung segmentation





Improving anatomical plausibility in medical image segmentation via hybrid graph neural networks: applications to chest x-ray analysis. IEEE Transactions on Medical Imaging. 2022 Nov 24;42(2):546-56. Gaggion N, Mansilla L, Mosquera C, caMilone DH, Ferrante E.

# Auditing fairness in medical image analysis model



#### **Compute fairness metrics**

- Dice gap
- Dice STD

$$SER = \frac{\max_g(1 - DSC_g)}{\min_g(1 - DSC_g)}$$

- Dice Skewed error rate (SER)
- Hausdorff based metrics
- Etc

Limitation: we require ground-truth annotations to compute most fairness metrics for segmentation

# **Unsupervised Bias Discovery (UBD)**

in deep segmentation networks

## Can we **anticipate bias** for segmentation in new populations **without ground-truth annotations**?

# **Proposed solution**

UBD based on Reverse Classification Accuracy (RCA)



Reverse classification accuracy: predicting segmentation performance in the absence of ground truth.

IEEE transactions on medical imaging. 2017

Valindria VV, Lavdas I, Bai W, Kamnitsas K, Aboagye EO, Rockall AG, Rueckert D, Glocker B.
## **Proposed solution**

UBD based on Reverse Classification Accuracy (RCA)



We will use RCA to estimate the signed gap in terms of DSC (or HD)  $\Delta DSC^{RCA} = DSC^{RCA}_{A=M} - DSC^{RCA}_{A=F}$  between different demographic subgroups

## **Experimental validation of RCA based UBD**

Synthetic experiment

- Mix of 4 different x-ray datasets (comprising a total of 911 images) including JSRT, Montgomery, Shenzhen and a minor subset of the Padchest dataset.
- UNet model trained via a compound soft Dice and cross-entropy loss
- We saved 12 different Unet versions from intermediate training checkpoints.



## **Experimental validation of RCA based UBD**

Synthetic experiment

**Simulated scenario:** the segmentation quality varies based on sex, with either male or female patients exhibiting superior performance.

We selected pairs of UNet models  $(M_i, M_j)$  to segment the male patients  $(M_i)$  and female patients  $(M_j)$ 

Masks were coming from different models, but in this synthetic experiment we consider them to be generated by a single fictitious model whose fairness we would aim to audit.

## **Results: simulated scenario**



#### DSC estimated with GT

DSC estimated without GT



 $\Delta DSC^{RCA} = DSC^{RCA}_{A=M} - DSC^{RCA}_{A=F}$ 

## **Results: simulated scenario**



## **Results: auditing real models**

We consider one model trained on 100% males and another on 100% females. We tested both models on male and female images separately

Surprisingly, we found that both models tend to perform better on female than male patients

#### DSC estimated with GT



#### **Estimated without GT**



#### Heart DSC 1.00 0.95 0.90 0.85 0.80 DSC 0.75 0.70 0.65 Tested In 0.60 Males Females 0.55 Males Females

Trained In

## CheXmask: a large-scale dataset of anatomical segmentation masks for multi-center chest x-ray images



# **CheXmask**

A K

a large-scale dataset of anatomical segmentation masks for multi-center chest x-ray images

ACACACACACC

AAAAA

AAA

AAA

AAAAA

ABB

ABBAB

AAAA

**BAB** 







https://tinyurl.com/chexmask

## UBD at large scale: CheXmask



AP images tend to come from hospitalized patients, who are more difficult to position in standard views and usually include artifacts or cables

## **Active Research Areas**

Anatomical segmentation

**Domain Adaptation and Generalization** 

Model Calibration in Biomedical Image Analysis

Fairness in ML for Biomedical Image Analysis

Learning representations of life

## **Domain adaptation and generalization**

How can we obtain models that generalize to unseen image domains?

#### **Gradient Surgery for Domain Generalization**

Mansilla L, Echeveste R, Milone D, *Ferrante E*.

ICCV 2021



Training or source domains

Test or target domain (not seen during training)



#### Unsupervised Domain Adaptation via CycleGAN for White Matter Hyperintensity Segmentation in Multicenter MR Images Palladino J, Fernandez Slezak D, <u>Ferrante E.</u>

SIPAIM-MICCAI Symposium 2020





## **Model Calibration in Biomedical Image Analysis**

How can we obtain calibrated posteriors when training ML models for classification and segmentation?

0.2

0.0

#### **Orthogonal Ensemble Networks for Biomedical Image Segmentation** Larrazabal A, Martinez C, Doltz J, Ferrante E.

**MICCAI 2021** 

#### Overconfident model





#### **Maximum Entropy on Erroneous Predictions:** Improving model calibration for medical image segmentation Larrazabal A, Martinez C, Doltz J\*, Ferrante E\*.

**ÉCOLE DE** TECHNOLOGII SUPÉRIEURE

#### MICCAI 2023



## Fairness in ML for Biomedical Image Analysis

Characterizing and mitigating fairness issues in ML models for biomedical image analysis

## Gender imbalance in medical imaging datasets produces biased classifiers for computer-aided diagnosis

Larrazabal A., Nieto N., Peterson V., Milone D., Ferrante E.

Proceedings of the National Academy of Sciences (PNAS) 2020



#### Gender imbalance in medical imaging datasets produces biased classifiers for computer-aided diagnosis

Agostina J. Larrazabal, Nicolás Nieto, <sup>(i)</sup> Victoria Peterson, <sup>(i)</sup> Diego H. Milone, and <sup>(i)</sup> Enzo Ferrante

PNAS first published May 26, 2020 https://doi.org/10.1073/pnas.1919012117

Edited by David L. Donoho, Stanford University, Stanford, CA, and approved April 30, 2020 (received for review October

Addressing fairness in artificial intelligence for medical imaging Ricci Lara A., Echeveste R., <u>Ferrante E.</u>

Nature Communications (2022)



### nature communications

Addressing fairness in artificial intelligence for medical imaging

María Agustina Ricci Lara 🗠, Rodrigo Echeveste 🗠 & Enzo Ferrante 🗠

<u>Nature Communications</u> 13, Article number: 4581 (2022) Cite this article

8900 Accesses 9 Citations 49 Altmetric Metrics

A plethora of work has shown that AI systems can systematically and unfairly be biased against certain populations in multiple scenarios. The field of medical imaging, where AI systems are beginning to be increasingly adopted, is no exception. Here we discuss the meaning of fairness in this area and comment on the potential sources of biases, as well as the strategies available to mitigate them. Finally, we analyze the current state of the field, identifying strengths and highlighting areas of vacancy, challenges and opportunities that lie ahead.

## Learning representations of life

Linking image derived phenotypes with genetic information via ML methods (imaging genetics)



**Research supported by:** 







